



# Solar Neutrinos: Before and After KamLAND

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2002: The Year of the Solar Neutrino

- April 20 : Direct Evidence of  $\nu_e$  flavour conversion from the SNO NC data
- December 6: Evidence in favour of the LMA solution to the solar neutrino problem from KamLAND

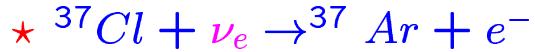
The results represent the culmination of a focussed and outstanding experimental program with seminal contributions by the Homestake, Kamiokande, Gallium, SAGE, and SuperK collaborations, buttressed by increasingly refined and accurate solar model calculations and theoretical understanding of the passage of neutrinos in matter (MSW effect)



# Solar Neutrino Experiments

- Radiochemical Experiments

– Homestake (South Dakota, USA)



$$E_{th} = 0.81\text{ MeV}$$



– SAGE (Baksan, Russia) and  
GALLEX, GNO (Gran Sasso, Italy)



$$E_{th} = 0.23\text{ MeV}$$



- Real-Time Experiments

– Kamiokande, SuperK. (Kamioka, Japan)



$$E_{e,th} = 5.0\text{ MeV}$$



– SNO (Sudbury, Canada)

$$T_{e,th} = 5.0\text{ MeV}$$



- $\star$  sensitive to only  $\nu_e$ .
- ES and NC sensitive to all neutrino flavours.
- NC provides a direct measurement of the  ${}^8\text{B}$  flux.



## Evidence for Flavour Conversion from Rate Observations

- The ratio of the observed solar neutrino rates to the SSM predictions.

experiment	$\frac{obsd}{BPB00}$
Cl	$0.337 \pm 0.029$
Ga	$0.553 \pm 0.034$
SK	$0.465 \pm 0.014$
SNO(CC)	$0.349 \pm 0.021$ *
SNO(ES)	$0.473 \pm 0.074$ *
SNO(NC)	$1.008 \pm 0.123$ *

\* Assuming undistorted  ${}^8B$  spectrum

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$$\frac{CC}{NC} = \frac{\nu_e}{\nu_e + \nu_\mu + \nu_\tau} < 1$$

→ signals flavour conversion

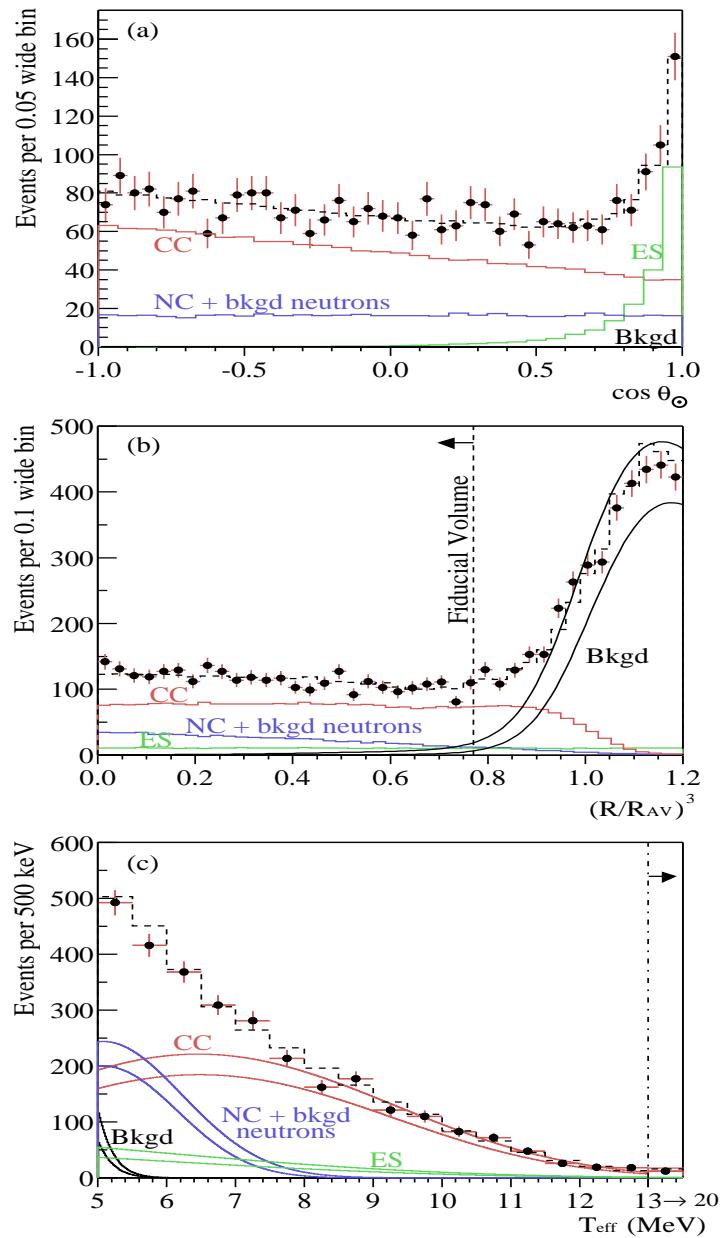
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$$\frac{CC}{ES} = \frac{\nu_e}{\nu_e + 0.15(\nu_\mu + \nu_\tau)} < 1$$

→ signals flavour conversion



## Results from SNO



NC signal entangled with ES and CC signal. Hence extraction of the separate components requires assuming that the Boron flux is undistorted



## Time Variations

- Day/Night Effect (Zenith Angle Dependence)

$$A_{SK} = \frac{2(\phi_N - \phi_D)}{(\phi_N + \phi_D)} = 2.1 \pm 2.0 \pm 1.3 \% \text{ (} 0.9\sigma \text{ effect)}$$

$$A_{SNO} = \frac{2(\phi_N - \phi_D)}{(\phi_N + \phi_D)} = 7.1 \pm 4.9 \pm 1.3 \% \text{ (} 1.4\sigma \text{ effect)}$$

- Seasonal Variation: (SK)

- Consistent with that due to Earth's eccentricity

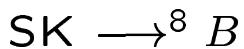


## Evolution of the Solar Neutrino Problem

- I: Before SNO

- The Observed flux < SSM prediction

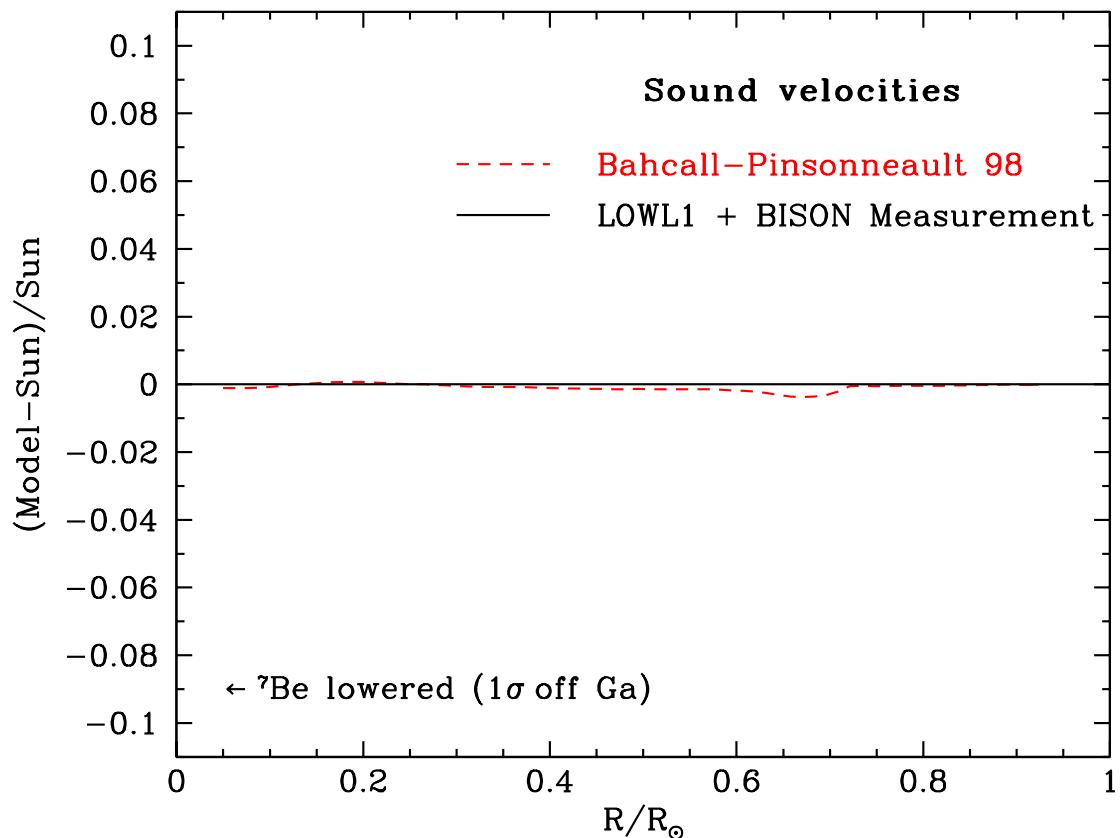
- ${}^7Be$  neutrino problem



- Astrophysical explanations highly disfavoured by helioseismological data

- Neutrino Flavour conversion ?

- SMA favoured until SK results on flatness of spectrum and absence of peak in zenith angle distribution in earth-core bin.



- Standard Solar Model is in excellent agreement with helioseismological measurements
- All the Standard Solar Models agree to one another to an accuracy of better than 10% with same input parameters.

- II: After SNO CC

SNO CC:  $\nu_e d \rightarrow \nu_e p p \rightarrow \Phi_e$

SK ES :  $\nu_x d \rightarrow \nu_x e \rightarrow \Phi_e + 0.15\phi_{\mu\tau}$

- $\phi_{ES}^{SK} - \phi_{ES}^{SNO} = 0.57 \pm 0.17 \times 10^6 / cm^2/sec$

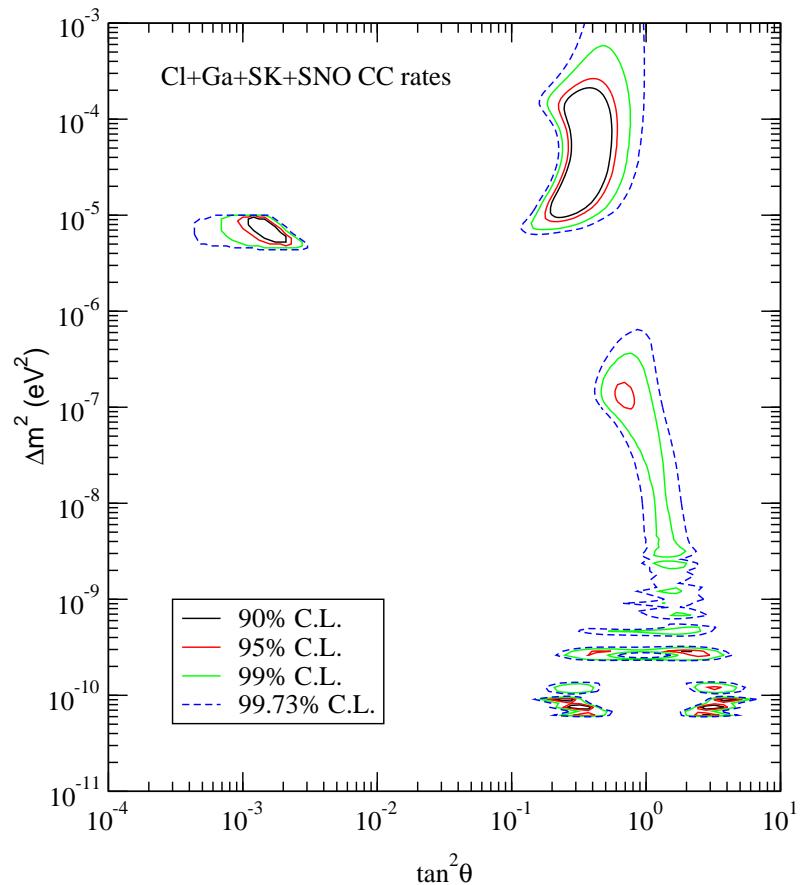
→ Presence of  $\nu_\mu/\nu_\tau$  at  $3.3\sigma$

SNO CC rate by itself does not alter constraints on SMA significantly

The SNO Collab. PRL 87, 2001



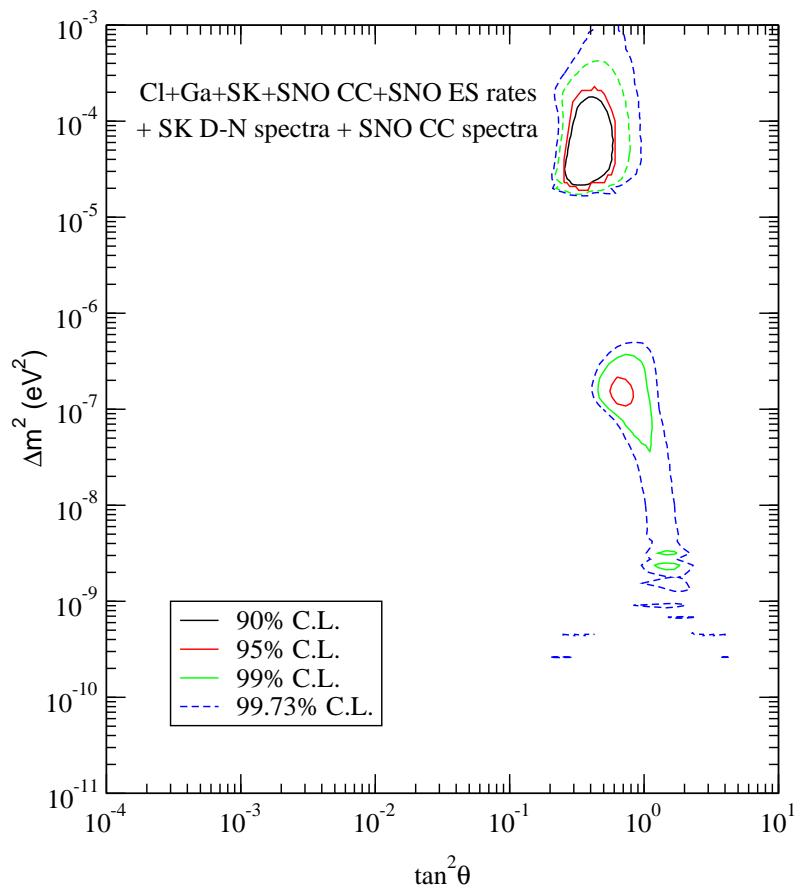
# Allowed Area from total flux measurement (2001)



A. Bandyopadhyay, S. Choubey, S. Goswami, K. Kar, PLB **519**, 2001



## SK spectrum + SNO CC spectrum + Rates (2001)



- Flatness of SK/SNO CC spectra practically eliminate SMA

(A. Bandyopadhyay, S. Choubey, S. Goswami, K. Kar, PLB **519**, 2001)

- After SNO NC

SNO CC:  $\nu_e d \rightarrow \nu_e pp \rightarrow \Phi_e$

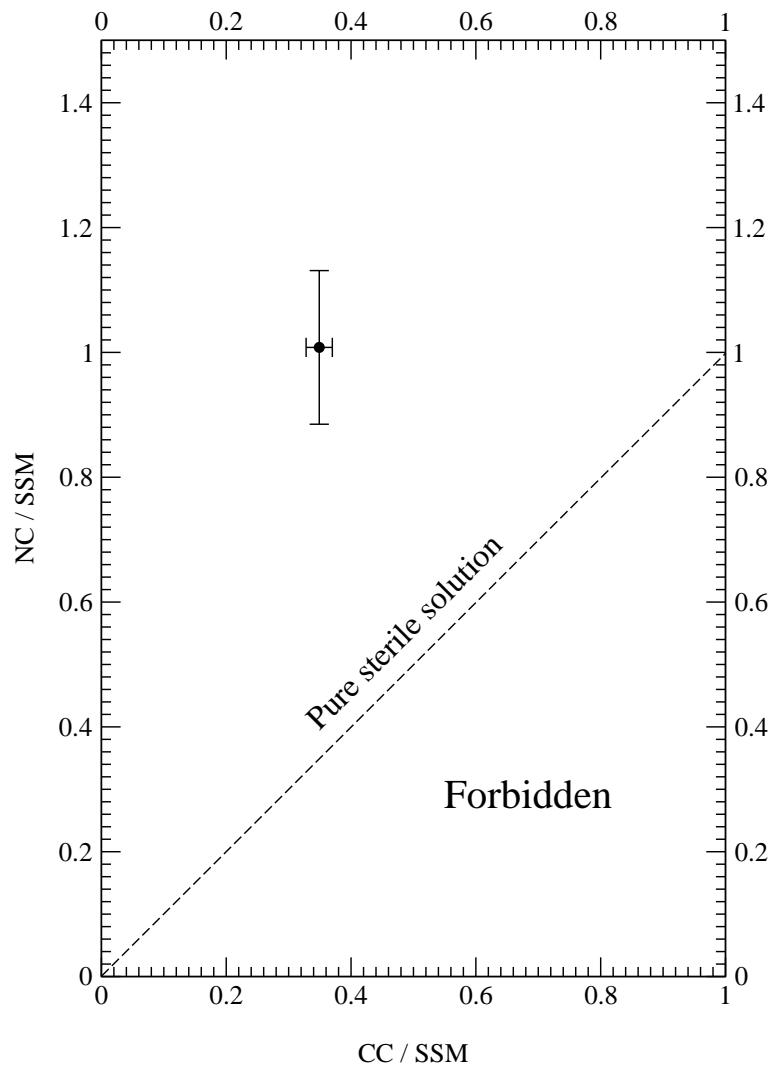
SNO NC :  $\nu_x d \rightarrow \nu_x np \rightarrow \Phi_e + \phi_{\mu\tau}$

- $\phi_{NC}^{SNO} - \phi_{CC}^{SNO} = 3.41 \pm 0.65 \times 10^6 / cm^2/sec$

→ Presence of  $\nu_\mu/\nu_\tau$  at 5.3  $\sigma$

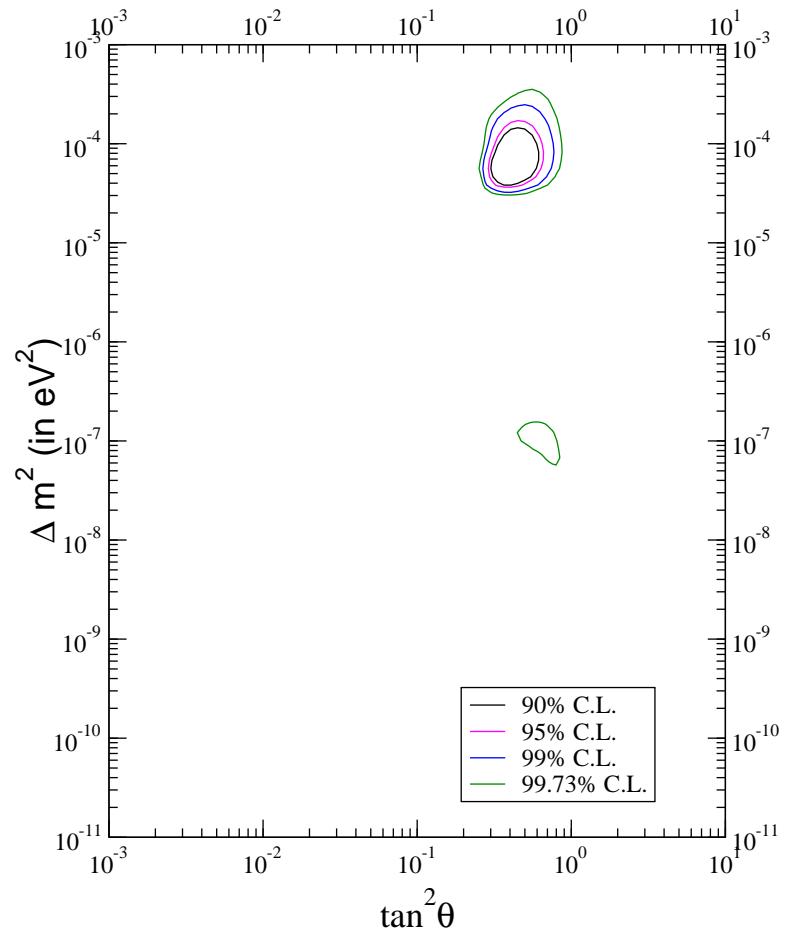
The SNO Collab.

PRL 89, 2002

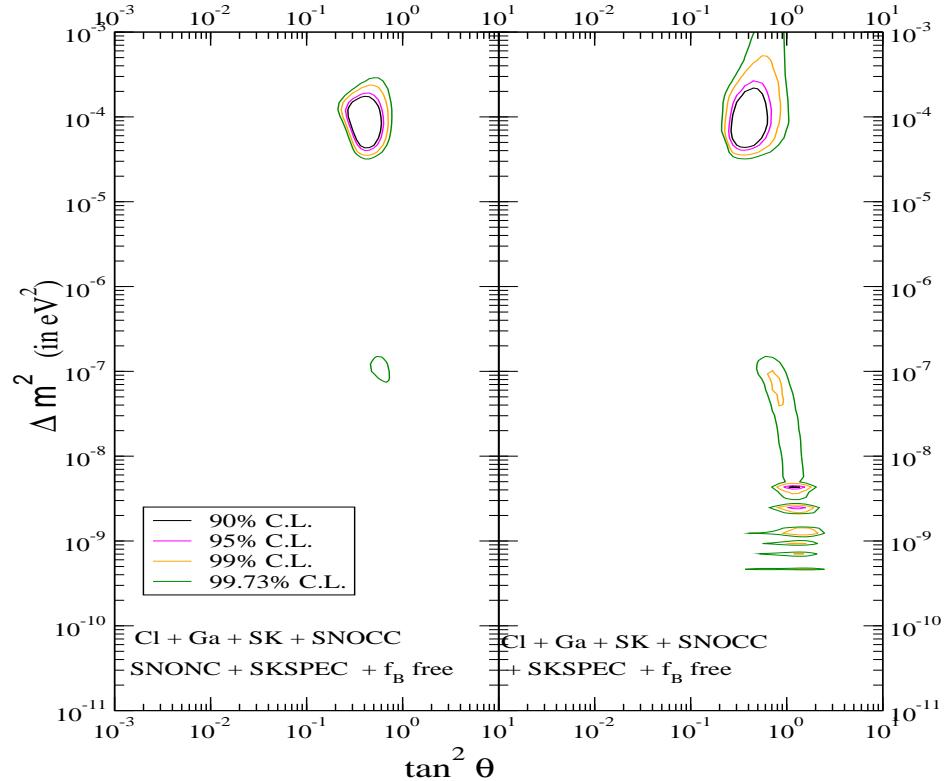


(A.Bandyopadhyay, S. Choubey, S.Goswami, D.P.Roy PLB,**540**,2002.)

- Pure  $\nu_e - \nu_s$  /No oscillation disfavoured at  $5.3\sigma$ .



## Impact of SNO NC



- LMA is the favoured solution
- LOW appears only at  $3\sigma$
- Values of  $\Delta m^2$  above  $3 \times 10^{-4}$  eV $^2$  disfavored since low  $f_B$  needed
- VO not allowed at  $3\sigma$
- Maximal Mixing ( $\theta = \pi/4$ ) is disfavored at  $3.4\sigma$
- SMA disfavored at  $3.7\sigma$ .
- Dark Side ( $\theta > \pi/4$ ) solutions are gone

(A.Bandyopadhyay, S. Choubey, S.Goswami, D.P.Roy PLB, **540**, 2002.)



## Why is maximal mixing disfavoured?

$$P_{ee}^{maxmix} \approx 1/2 + f_{reg}$$

- Only observed rate  $> 1/2$  is in Ga Experiments
- SNO NC  $\implies f_B \approx 1.0$
- Pre-SNO NC uncertainty about  $f_B$  allowed max mixing for SK



## Why is the LOW solution disfavoured

$$R_{Ga} \approx \sin^2 \theta + f_{reg}$$
$$R_{SK} \approx R_{CC} \approx f_B \sin^2 \theta$$

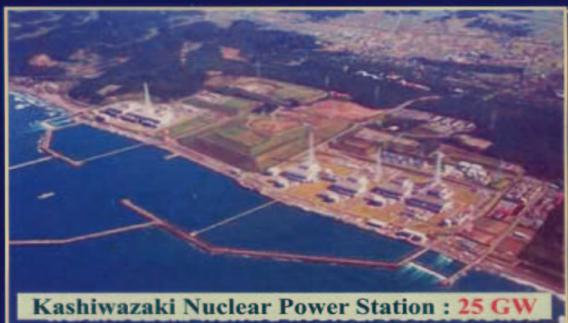
- SNO NC  $\implies f_B \approx 1.0$
- Large  $f_{reg}$  to reconcile the Ga and SK/CC rates
- No peaks observed in the zenith angle spectrum of SK
- SK+SNO+Ga disfavours LOW

## KamLAND experiment

- 1000 ton liquid scintillator neutrino detector situated at the former site of Kamiokande.



### Reactor Neutrinos



Kashiwazaki Nuclear Power Station : 25 GW



- $\bar{\nu}_e$  source: 16 reactors at distances 81 - 824 km
- Most powerful reactors are at distance  $\sim 180$  km

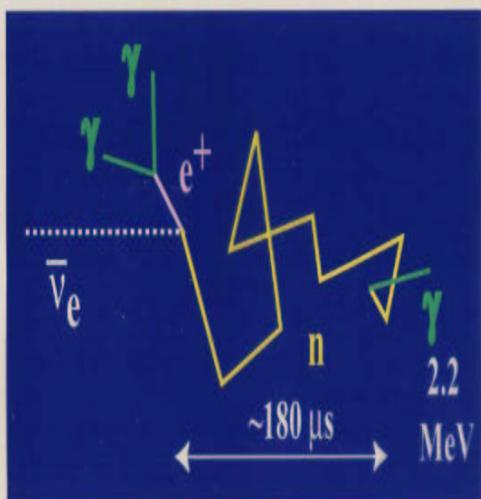


## Reactor $\bar{\nu}_e$ Detection in Liquid Scintillator

reaction process : inverse- $\beta$  decay ( $\bar{\nu}_e + p \rightarrow e^+ + n$ )  
 $+ p \rightarrow d + \gamma$

distinctive two-step signature

● prompt part :  $e^+$



$\bar{\nu}_e$  energy measurement

$$E_V \sim (E_e + \Delta)/I + \frac{E_e}{M_p} I + \frac{\Delta^2 - m_e^2}{M_p}$$
$$\Delta = M_n - M_p$$

● delayed part :  $\gamma$  (2.2 MeV)

$$E_{th} = \frac{(M_n + m_e)^2 - M_p^2}{2M_p} = 1.806 \text{ MeV}$$

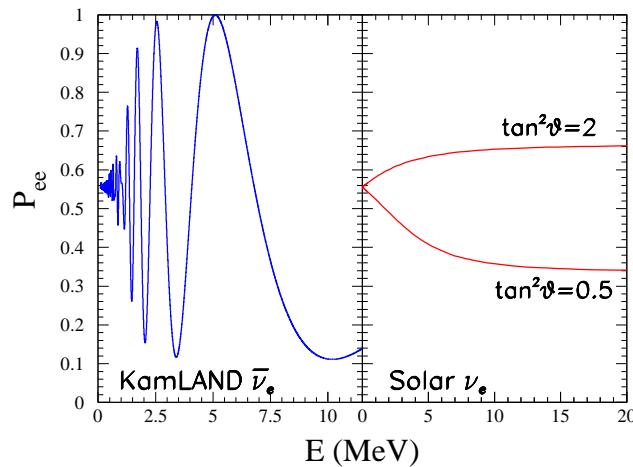
● tagging : correlation of time,  
position and energy between  
prompt and delayed signal

## KamLAND experiment

- 1 kTon Liquid Scintillator detector situated at the old Kamioka site.
- Looks for  $\bar{\nu}_e$  oscillation coming from 16 reactors at distances 81 - 824 km.
- Most powerful reactors are at a distance  $\sim 180$  km.
- Detection process :  $\bar{\nu}_e p \rightarrow e^+ n$
- Survival Probability

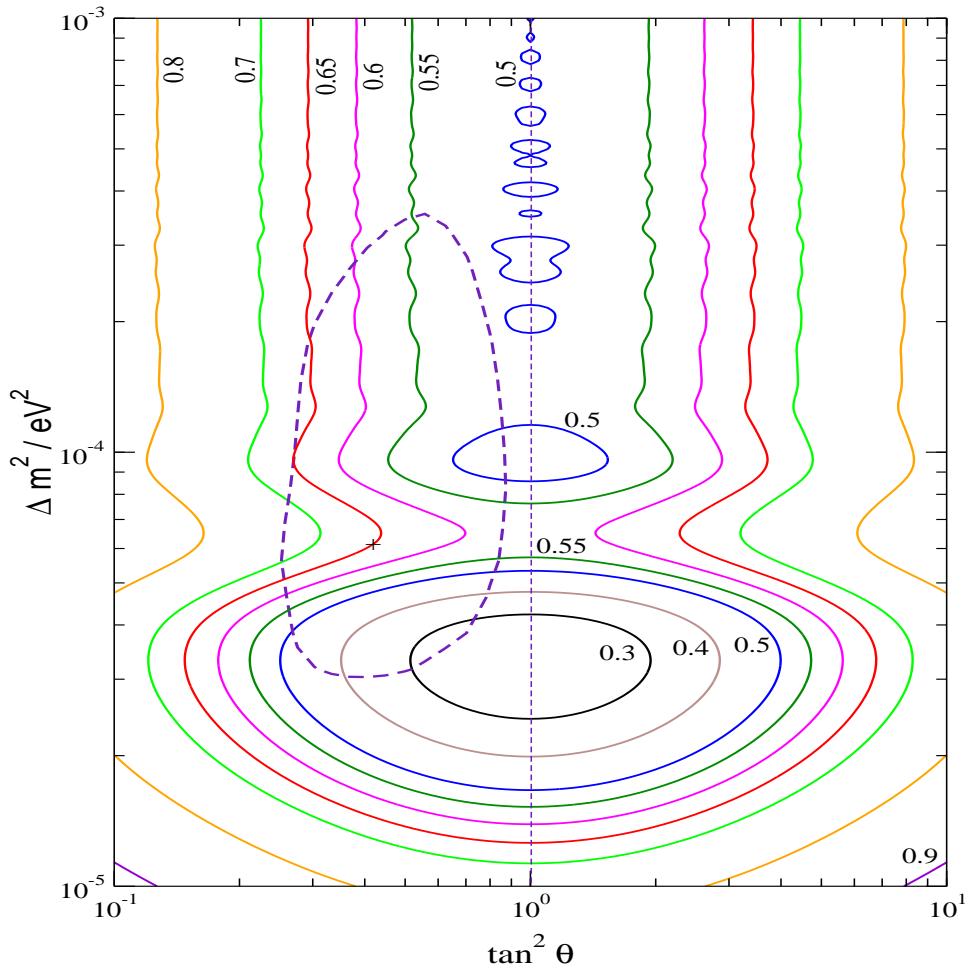
$$P_i(\bar{\nu}_e \leftrightarrow \bar{\nu}_e) = 1 - \sin^2 2\theta \sin^2 \left( \frac{1.27 \Delta m^2 d_i}{E_\nu} \right)$$

- $E_\nu \sim 3$  MeV,  $L \sim 1.8 \times 10^5$  m ,  $\Delta m^2 \sim 1.6 \times 10^{-5}$  eV $^2$ 
  - sensitive to LMA region
- No matter effects:  $\theta \equiv \frac{\pi}{2} - \theta$



J.N.Bahcall et al., hep-ph/0212247

Can probe the L/E dependence of the oscillations in the LMA region —unprecedented sensitivity to  $\Delta m^2$



A.Bandyopadhyay et al., hep-ph/0211266

- Solar best fit predicts  $R_{KL} = 0.65^{+0.08}_{-0.39}$  ( $3\sigma$ )
- LOW predicts  $R_{KL} > 0.9$
- First KamLAND results (KamLAND Collab. hep-ex/0212021)

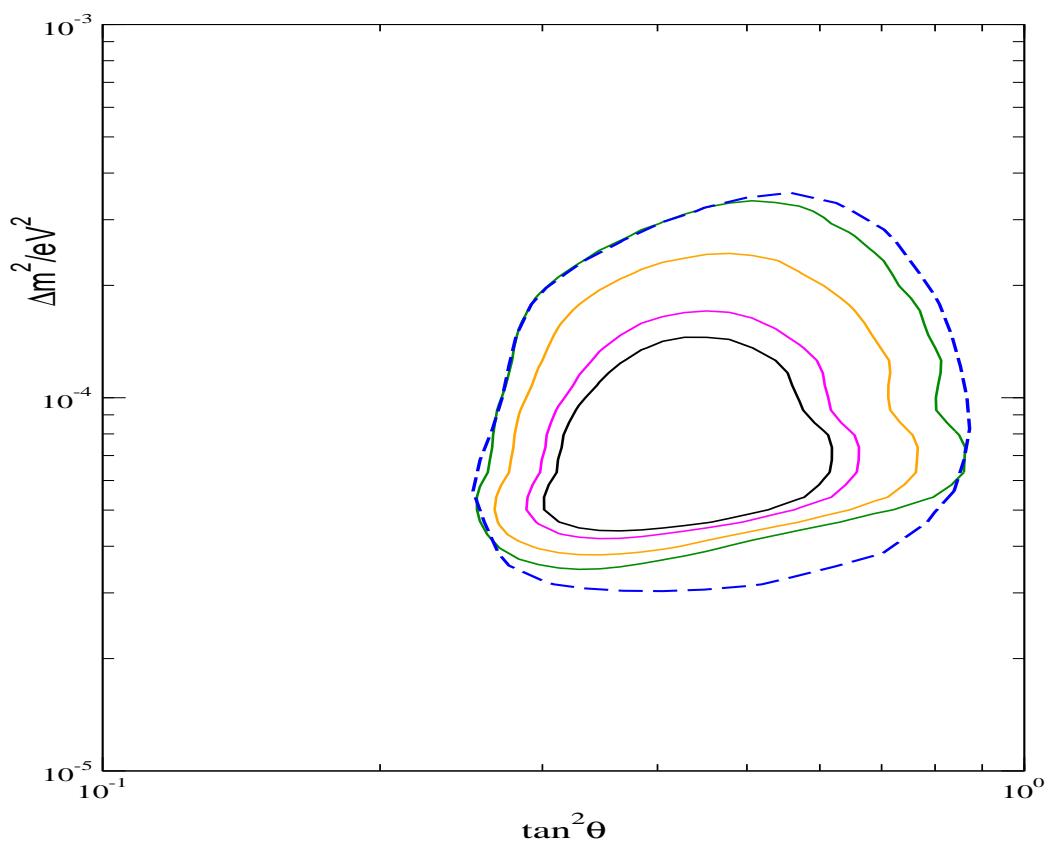
$$R_{KL} = 0.611 \pm 0.094 \text{ (145 days)}$$

**★ KamLAND confirms LMA ★**

## Combined Solar+KamLAND analysis

- V. Barger and D. Marfatia, hep-ph/0212126.
- G. L. Fogli, E. Lisi, A. Marrone, D. Montanino, A. Palazzo and A. M. Rotunno, hep-ph/0212127.
- M. Maltoni, T. Schwetz and J. W. Valle, hep-ph/0212129.
- P. Creminelli, G. Signorelli and A. Strumia, hep-ph/0102234, v4, 9 Dec. (2002).
- [A. Bandyopadhyay, S. Choubey, R. Gandhi, S. Goswami and D. P. Roy, hep-ph/0212146.](#)
- J. N. Bahcall, M. C. Gonzalez-Garcia and C. Pena-Garay, hep-ph/0212147.
- H. Nunokawa, W. J. Teves and R. Z. Funchal, hep-ph/0212202.
- P. Aliani, V. Antonelli, M. Picariello and E. Torrente-Lujan hep-ph/0212212.
- P. C. de Holanda and A. Yu. Smirnov, hep-ph/0212270.
- W. I. Guo and Z. z. Xing, hep-ph/0212142
- A. B. Balantekin and H. Yuksel, hep-ph/0301072

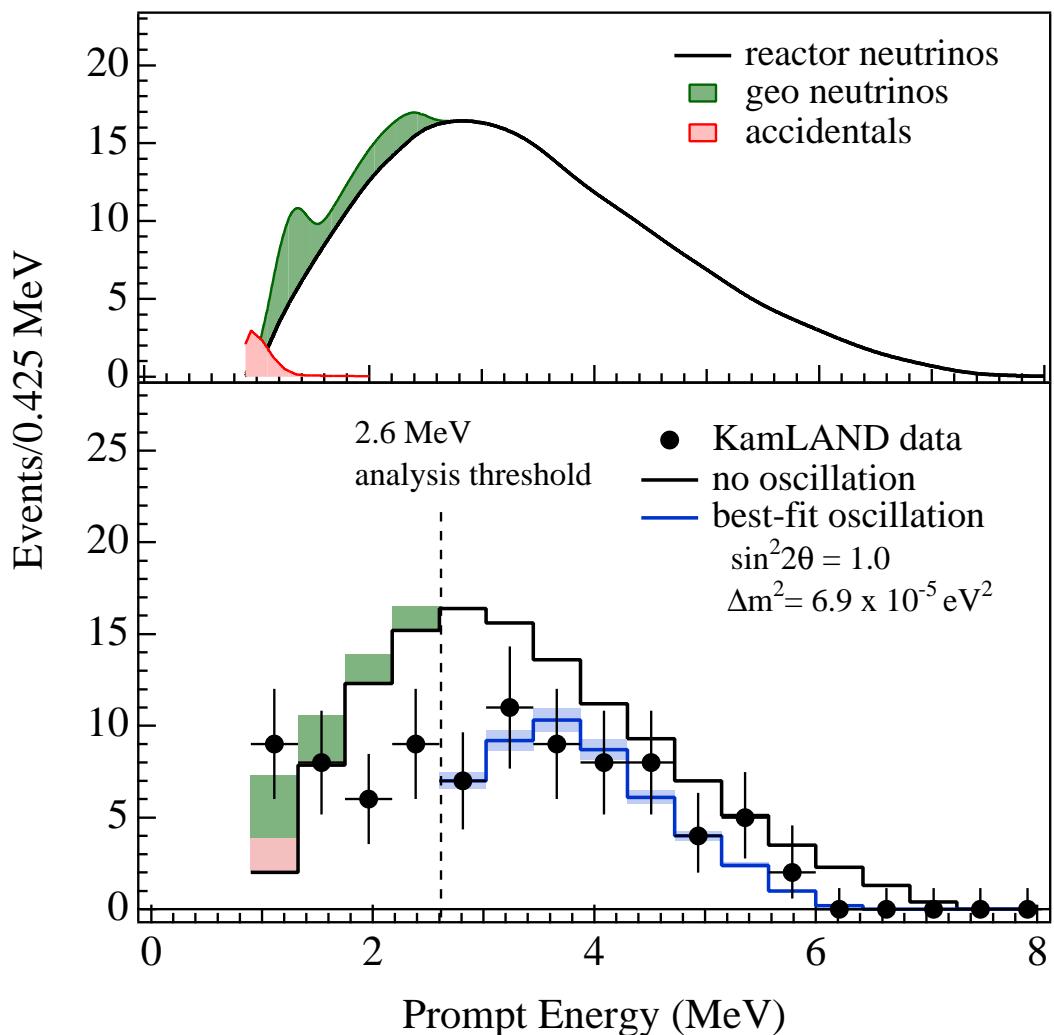
## Impact of KamLAND rate on Allowed LMA Zone



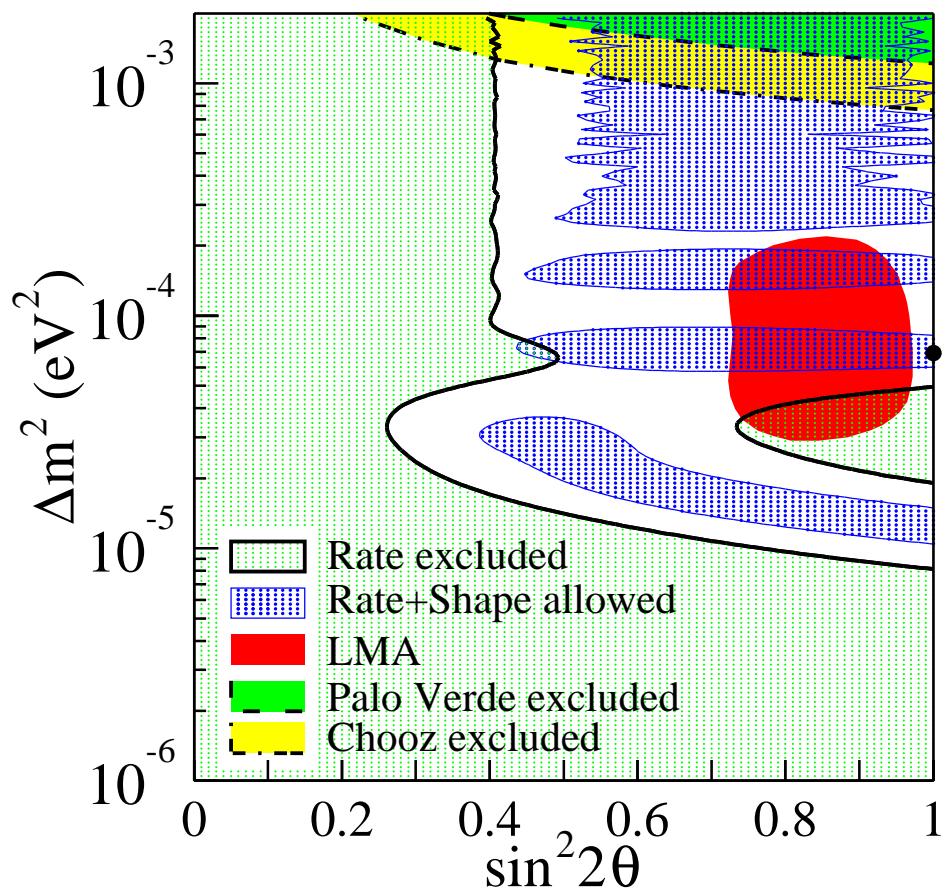
- Lower bound:  $\Delta m^2 > 4 \times 10^{-5} \text{ eV}^2$
- Only a moderately tighter constraint on  $\Delta m^2$ , practically no other changes

A. Bandyopadhyay et al. hep-ph/0212146

## KamLAND spectrum

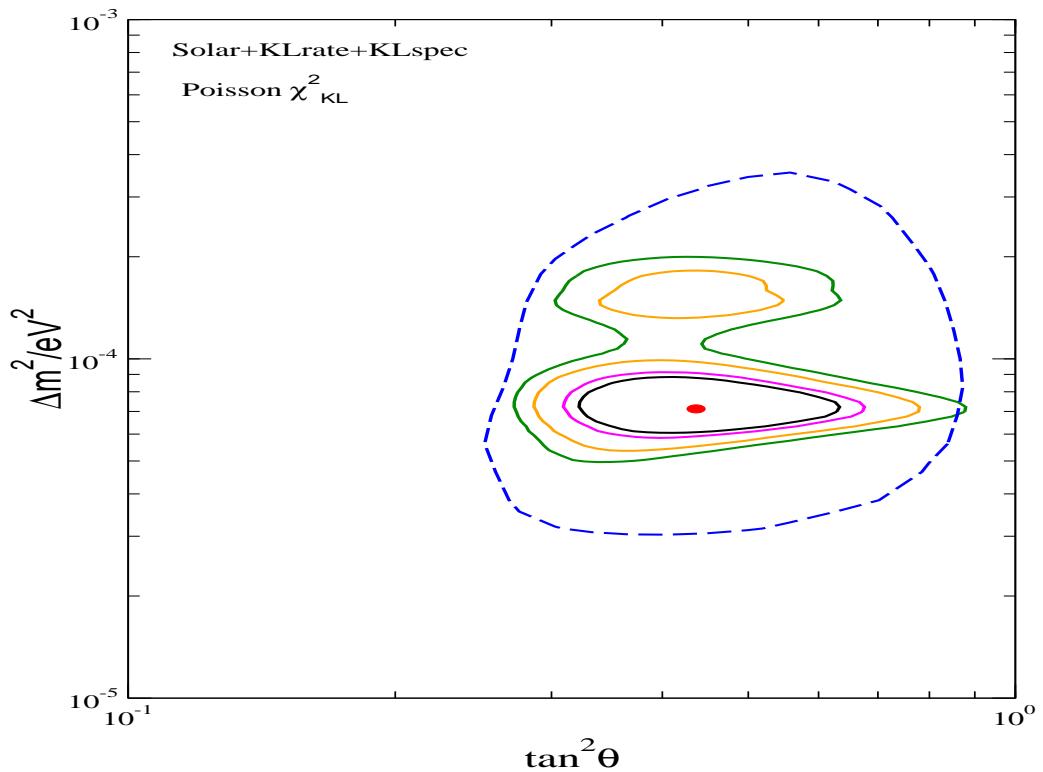


KamLAND Collaboration, hep-ex/0212021



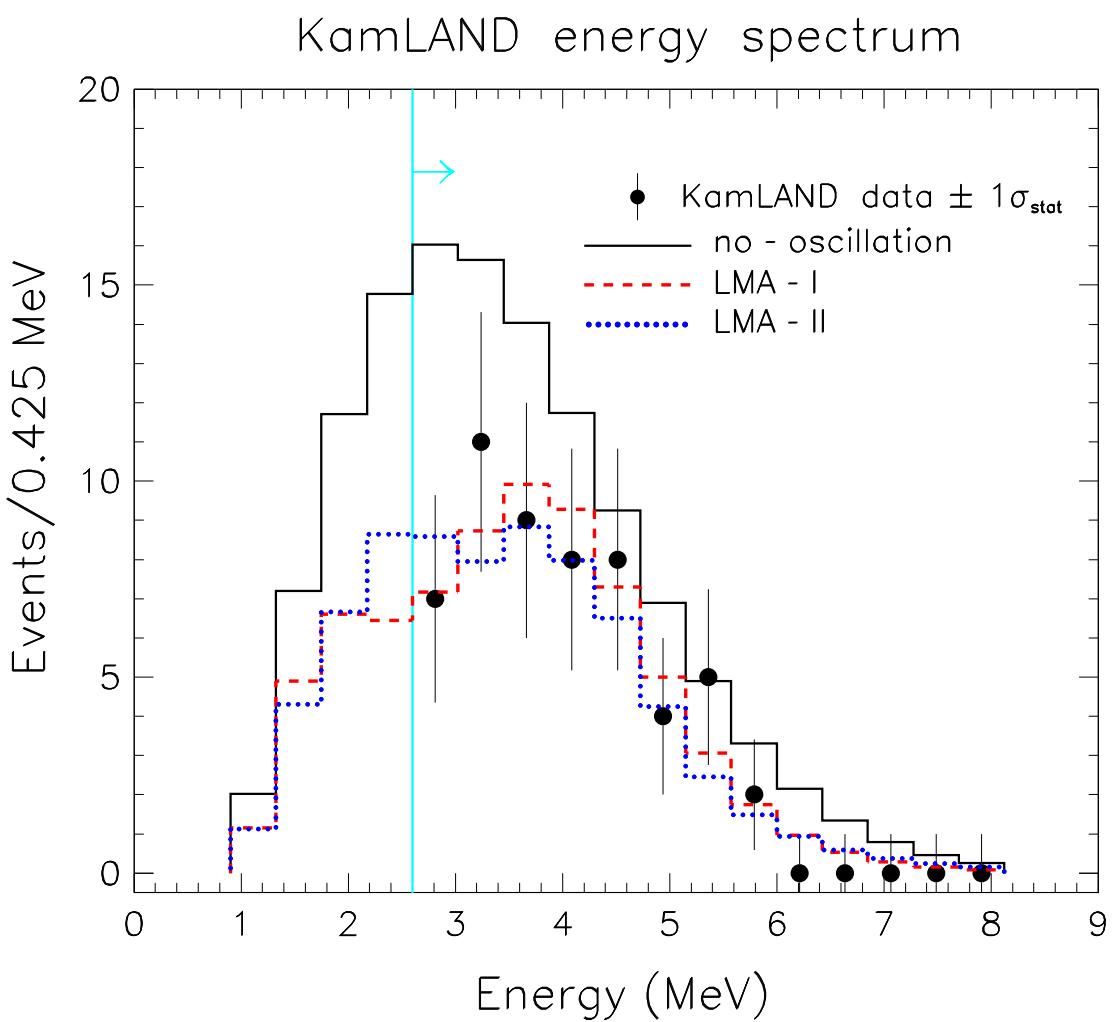
KamLAND Collaboration, hep-ex/0212021

## Impact of KamLAND spectrum on Allowed LMA Zone



- Stronger bound on  $\Delta m^2$
- Splits the allowed region into two zones at 99% C.L.  
low-LMA (LMA1) and high-LMA (LMA2)
- LMA2 has less statistical significance (by  $\approx 2\sigma$ )
- The global best-fit  $\Delta m^2 = 7.17 \times 10^{-5} \text{ eV}^2$ ,  $\tan^2 \theta = 0.44$  in low-LMA
- LOW disfavoured at  $5\sigma$
- Maximal Mixing disfavoured at  $3.4 \sigma$

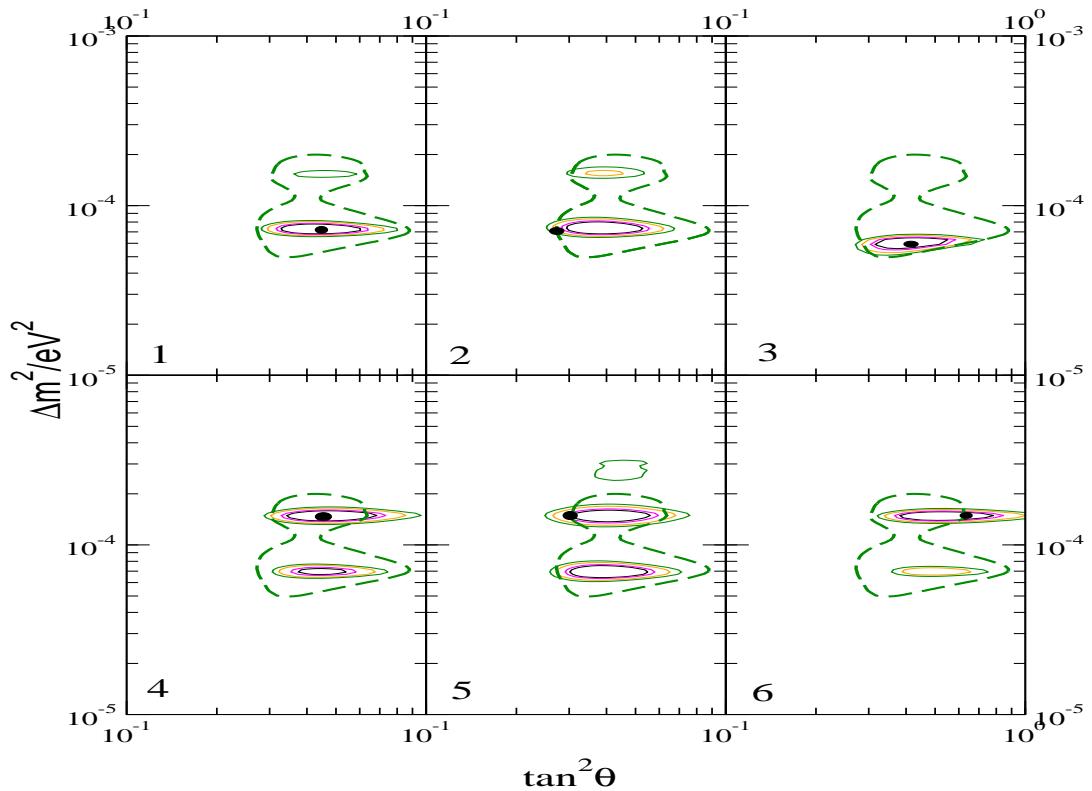
A. Bandyopadhyay et al. hep-ph/0212146



G.L. Fogli et al.

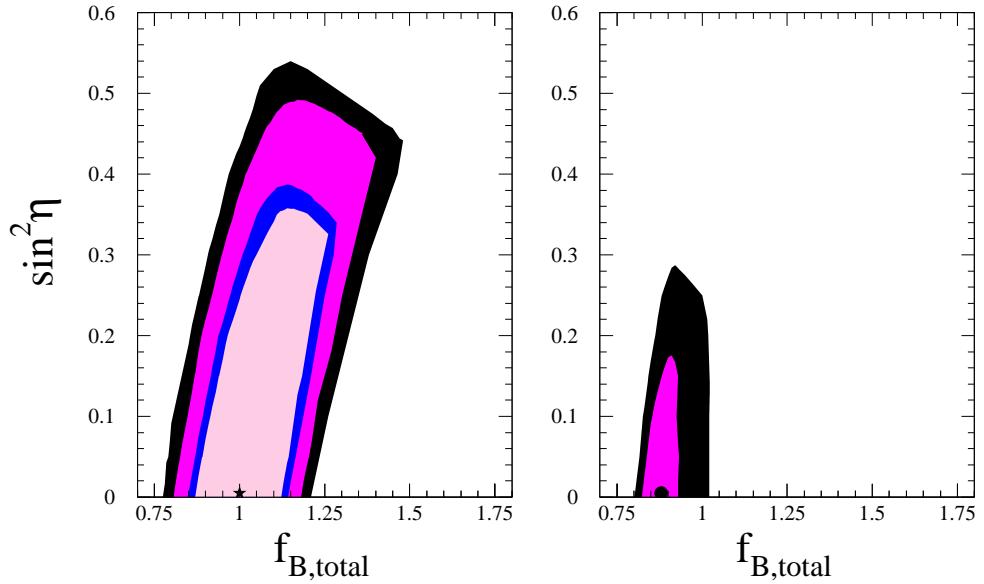
hep-ph/0212127.

## Projected Analysis: 1 kiloTon year (2.5 year)



- For spectra simulated at lower  $\Delta m^2$  values the high-LMA region is more disfavoured
  - For spectra generated at solar best-fit no high-LMA region found
  - For spectrum simulated at high-LMA region the ambiguity remains.
    - More statistics
    - Data below 2.6 MeV
- A. bandyopadhyay et al. hep-ph/0212146

# Transitions to Active+Sterile Species



- $P_{ea} = \cos^2 \eta (1 - P_{ee})$
- At  $1\sigma(3\sigma) \Rightarrow \sin^2 \eta < 0.13(0.52)$

J.N.Bahcall et al., hep-ph/0212247

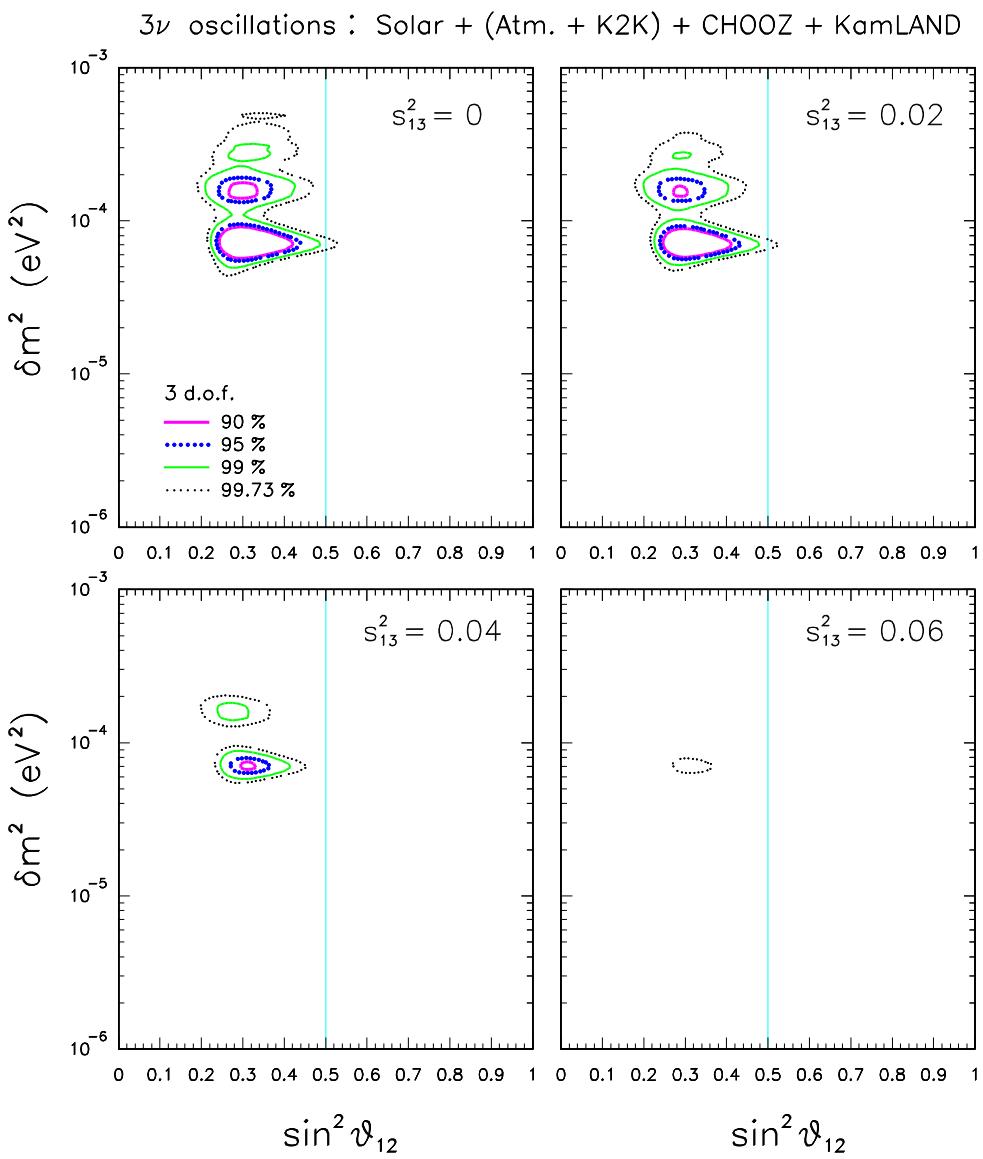
## Three Flavour Oscillation

- $\Delta m_{21}^2 = \Delta m_\odot^2$
- $\Delta m_{31}^2 = \Delta m_{CHOOZ}^2 \simeq \Delta m_{atm}^2 = \Delta m_{32}^2$
- 

$$U = R_{23}R_{13}R_{12}$$

$$= \begin{pmatrix} c_{13}c_{12} & s_{12}c_{13} & s_{13} \\ -s_{12}c_{23} - s_{23}s_{13}c_{12} & c_{23}c_{12} - s_{23}s_{13}s_{12} & s_{23}c_{13} \\ s_{23}s_{12} - s_{13}c_{23}c_{12} & -s_{23}c_{12} - s_{13}s_{12}c_{23} & c_{23}c_{13} \end{pmatrix}$$

- $\theta_{13} = 0$  solar and atmospheric neutrinos decouple
  - For  $\theta_{13} \neq 0$  and  $\Delta m_{31}^2 \gg \Delta m_{21}^2$
  -
- $$P_{ee} = c_{13}^4 P_{ee}^{2gen} + s_{13}^4$$
- Independent of  $\theta_{23}, \Delta m_{31}^2$
- $\theta_{13}$  is constrained mainly from CHOOZ data to  $\sin^2 \theta_{13} < 0.04$  ( $3\sigma$ )
  - Non zero  $\theta_{13}$  modifies the allowed area in  $\Delta m_{12}^2 - \sin^2 \theta_{12}$  parameter space.



- A third solution (**LMA-III**) marginally allowed
- LMA-I most stable against increasing  $\theta_{13}$

G.L. Fogli et al., hep-ph/0212127

## Summary (2002)

- Comparison of SNO CC and SNO NC signifies neutrino flavour conversion at  $5.3\sigma$
- Rules out transitions to pure sterile states at  $5.3\sigma$
- LMA is the favoured solution to the solar neutrino problem with  $3 \times 10^{-5} \text{ eV}^2 \leq \Delta m^2 \leq 3 \times 10^{-4} \text{ eV}^2$  and  $0.25 \leq \tan^2 \theta \leq 0.87$  at  $3\sigma$
- KamLAND confirms LMA
- Best-fit  $\Delta m^2$ :  
 $6 \times 10^{-5} \text{ eV}^2 \rightarrow 7.2 \times 10^{-5} \text{ eV}^2$
- No significant change in best-fit  $\theta$  ( $\tan^2 \theta = 0.4$ )
- LMA region splits into two parts at 99% C.L.
- $3\sigma$  allowed range after the first KamLAND data  $4.96 \times 10^{-5} \text{ eV}^2 < \Delta m^2 < 2 \times 10^{-4} \text{ eV}^2$  and  $0.27 < \tan^2 \theta < 0.88$
- Transitions to mixed state still allowed with  $< 13\%$ (52%) sterile mixture at  $1\sigma(3\sigma)$ .
- Alternative solutions to solar neutrino problem predicting undiminished KamLAND rate e.g spin flip precession ruled out at  $> 3.5\sigma$ .

## Future

- Discrimination between the two LMA regions
  - • More statistics from KamLAND
  - • Reducing the threshold below 2.6 MeV
  - • Precise measurement of CC/NC at SNO
  - • Day-night asymmetry  $> 1\%$  in SNO can exclude LMA2 .
  - • Borexino should see a rate  $0.64 \pm 0.03$  ( $1\sigma$ ) and no day-night asymmetry. It cannot differentiate between the two LMA regions.
- Measurement of the low energy neutrino flux and spectrum
  - • "LowNu" experiments  
**XMASS, CLEAN, GENIUS, MOON,LENS,HELLAZ,HERON...**  
R & D in progress.

J.N.Bahcall et al., hep-ph/0212247

A. de Holanda and A. Yu. Smirnov, hep-ph/0212270.

G.L. Fogli et al., hep-ph/0212127

A. Bandopadhyaya et al., hep-ph/0212146