

NEUTRINO MASSES AND SUPERSYMMETRIC GRAND UNIFICATION



R.N. MOHAPATRI

- HOW TO UNDERSTAND WHAT IS OBSERVED ABOUT NEUTRINOS
- WHAT DOES IT TELL US ABOUT PHYSICS BEYOND THE STD MODEL?

EVIDENCES FOR $m_\nu \neq 0$

- SOLAR + ATMOSPHERIC
KAMLAND + K2K

$$\Rightarrow m_\nu \neq 0 \text{ & } \theta_{\alpha i} \neq 0.$$

- MIXING MATRIX (IN THE BASIS
WHERE e, μ, τ MASS EIGENSTATE)

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{\alpha i} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$U_{\alpha i} \approx \begin{pmatrix} e & s & \epsilon \\ -\frac{s}{\sqrt{2}} & \frac{e}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ -\frac{s}{\sqrt{2}} & \frac{e}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{pmatrix}$$

$$s_{20}^2 \approx .8 - .9$$

$$\sin^2 2\theta_0 \approx .8 - 1; \quad \sin^2 2\theta_A \approx .85 - 1$$

$$U_{e3} \equiv \epsilon \leq .16 - .2$$

$$m_\nu \lesssim eV$$

V-MASS PATTERN

UNKNOWN AND IS AN
IMPORTANT SOUGHT
AFTER ITEM !!

POSSIBILITIES:

(i) NORMAL :

$$m_1 \ll m_2 \ll m_3$$

$$\Rightarrow m_3 \approx .05 \text{ eV}; m_2 \approx .009 \text{ eV}$$

$$0 \leq m_1 \leq .005 \text{ eV}$$

(ii) INVERTED :

$$m_1 \approx m_2 \approx .05 \text{ eV} \gg m_3$$

(iii) DEG. $m_1 \approx m_2 \approx m_3$

$$\text{WMAP} \Rightarrow m_1 \lesssim .23 - .7 \text{ eV}$$

• D - MASSES:

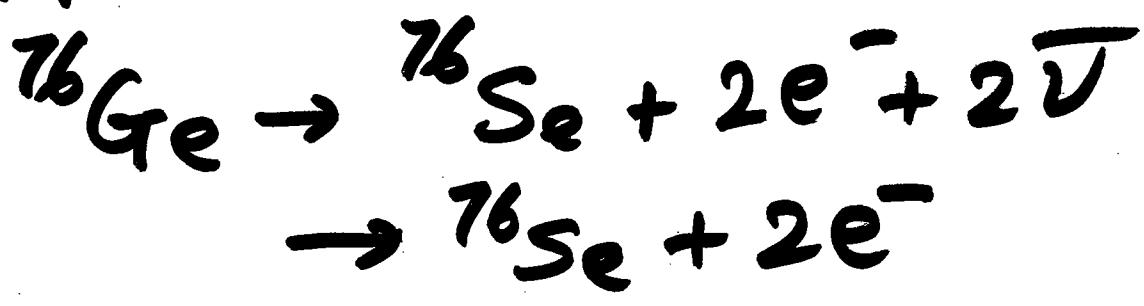
$$\Delta m_{\odot}^2 \approx 3.8 \times 10^{-5} \text{ eV}^2$$

$$\Delta m_A^2 \approx 2.5 \times 10^{-3} \text{ eV}^2$$

$$\Rightarrow \frac{\Delta m_{\odot}^2}{\Delta m_A^2} \simeq \text{few \% .}$$

• TRITIUM DECAY $\leq 2.2 \text{ eV}$

• $\beta\beta_{0\nu}$ DECAY



HEIDELBERG-MOSCOW (KLAUDOR-K et al.)

IGEX (MORALES et al.)

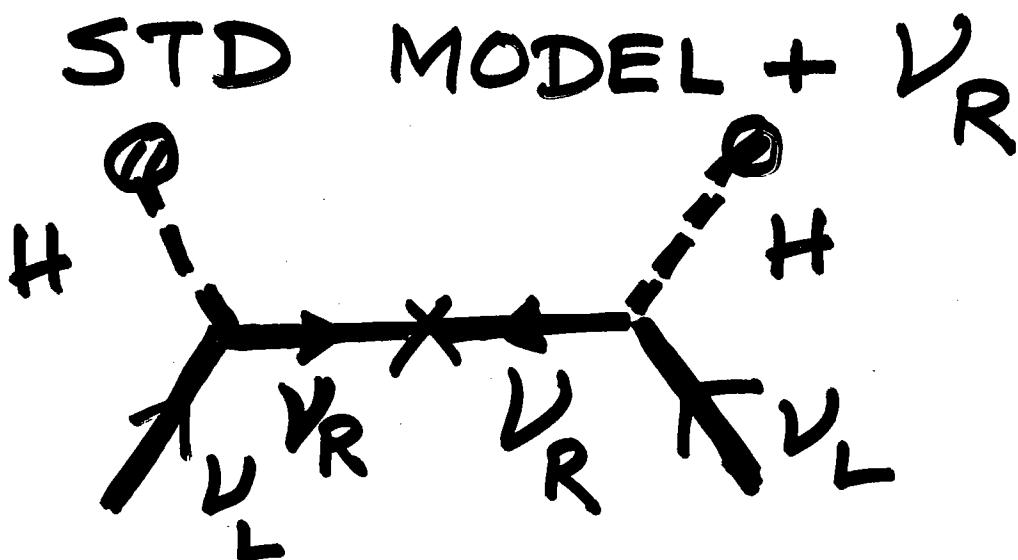
$$\sum_i U_{ei}^2 m_i \leq 3 \text{ eV}$$

CHALLENGES FOR THEORY :

- (i) WHY $m_\nu \ll m_e, m_u, m_d$
- (ii) WHY θ_{ij}^ν SO DIFF.
FROM θ_{CKM} ?
- (iii) WHY $\frac{4m_\theta^2}{\Delta m_A^2} \ll 1$?
- (iv) HOW DOES ν -MASS
PHYSICS FIT INTO
THE BROAD FRAMEWORK
OF OTHER PHYSICS e.g.
SUSY GUTS, INFLATION,
BARYOGENESIS etc.

(i) WHY $m_\nu \ll m_{u,d,e}$?

SEESAW MECHANISM :



$$m_\nu \approx -M_{\nu D} M_R^{-1} M_{\nu D}^T$$

GELL-MANN, RAMOND
SLANSK
YANAGIDA
R. N. M., SENJANOVIC,
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$$M_R \gg M_W \sim M_{\nu D}$$

$$\Rightarrow m_\nu \ll m_{e,u,d}$$

RAISES TWO QUESTION

(i) IS THERE AN
INDEPENDENT
ARGUMENT FOR ν_R ?

— × —
(i) LEFT-RIGHT SYM., ('74)
SO(10) etc. ('74)

$$\begin{pmatrix} u_L \\ d_L \end{pmatrix}, \begin{pmatrix} \nu_L \\ e_L \end{pmatrix} \xleftrightarrow{\text{PARITY.}} \begin{pmatrix} u_R \\ d_R \end{pmatrix}, \begin{pmatrix} \nu_R \\ e_R \end{pmatrix}$$

$\Rightarrow \nu_R / \text{GEN.} \Rightarrow 3 \times 3$
SEESAW.

(ii) $SU(2)_{\text{HORIZONTAL}}$:

$$\begin{pmatrix} \nu_{e_L} & \nu_{\mu_L} \\ e_L & \mu_L \end{pmatrix} (e_R, \mu_R)$$

$\longleftrightarrow SU(2)_L \longleftrightarrow$

GLOBAL WITTEN ANOMALY \Rightarrow (ν_{e_R}, ν_{μ_R})
KUCHIMANCHI, R.N.M. (2002) $\Rightarrow 3 \times 2$ SEESAW

(iii) $SU(3)_{\text{HOR.}} \Rightarrow (\nu_{e_R}, \nu_{\mu_R}, \nu_{\tau_R})$
KRIBS, (2003).

$$\sqrt{\Delta m_A^2} \simeq 0.05 \text{ eV}$$

$$\text{SEESAW} \Rightarrow M_R \leq 10^{15} \text{ GeV} \ll M_{Pl}$$

2. WHAT PROTECTS M_R
FROM BEING EQUAL TO
 M_{Pl} ?

LOCAL SYM :

(i) B-L

(ii) $SU(2)_H$

(iii) $SU(3)_H$

IS IT POSSIBLE
TO DISTINGUISH
BETWEEN THEM?

A. NATURE OF SEESAW
TYPE I:

$$M_\nu \approx - M_{\nu D} M_R^{-1} M_{\nu D}^T$$

(IN THEORIES WITHOUT PARITY)
 $SU(2)_H, SU(3)_H$

TYPE II.

$$M_\nu = f \frac{V_{WW}^2}{V_R^2} - M_{\nu D} \frac{1}{f V_R} M_{\nu D}^T$$

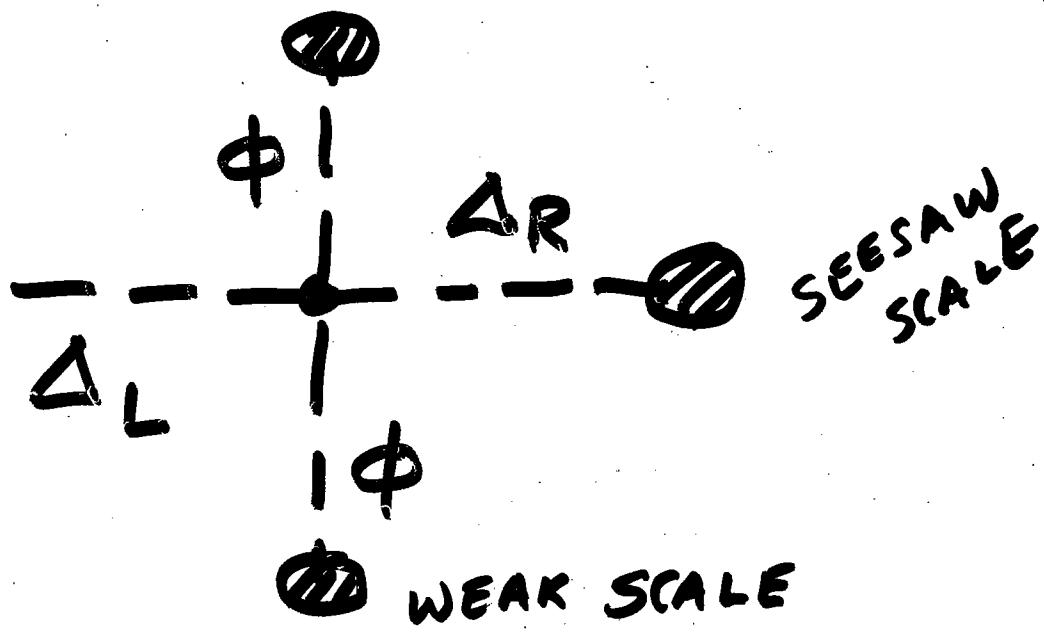
(THEORIES WITH PARITY
e.g. LR, SO(10), E₆ ...).

PARITY

$$V_R V_R \Delta_R + V_L V_L \Delta_L$$

$$\langle \Delta_R \rangle \neq 0 \xrightarrow{B-L=+2} M_{V_R}$$

HIGGS POT. TERMS



R.N.M. SENJANOVIC '80

$$\Downarrow \langle \Delta_L \rangle \neq 0 \equiv \frac{\langle \phi \rangle}{V_R}$$

\Rightarrow TYPE II SEESAW

ALSO WORKS FOR DOUBLET HIGGS.

$SU(2)_H$ SYMMETRY \Rightarrow
INVERTED HIERARCHY

WHEREAS $SO(10) \Rightarrow$ NORMAL H.
 X

$SU(2)_H$ ON 1st AND 2nd GEN:

e.g.

(L_e, L_μ) L_τ

(e_R, μ_R) τ_R

\Rightarrow GLOBAL WITTEN ANOMALY

$$\pi_1(SU(2)) = \mathbb{Z}_2$$

\Rightarrow THERE MUST BE A
 $(N_{eR}, N_{\mu R})$ TO MAKE TH.
ANOMALY TRI

\Rightarrow 3×2 SEESAW

IMPLICATIONS FOR ν -MASS

$$SU(2)_H \supset U(1)_{e-\mu}$$

↓ SYM. BR.

APPROX. $L_e - L_\mu - L_\tau$ SYM.

$$M_{\nu D} = \begin{pmatrix} a & 0 \\ 0 & a \\ 0 & b \end{pmatrix}; M_{N_R} = \begin{pmatrix} 0 & M' \\ M & 0 \end{pmatrix}$$

$$\Rightarrow M_\nu = \begin{pmatrix} 0 & m_1 & m_2 \\ m_1 & 0 & 0 \\ m_2 & 0 & 0 \end{pmatrix} + \frac{\epsilon}{m}$$

$$\Rightarrow (i) M_\ell = \begin{pmatrix} a & 0 & e \\ 0 & a & f \\ -af & ae & d \end{pmatrix}$$

$$\Rightarrow \boxed{\theta_O \approx \frac{\pi}{4} - U_{e3}} \quad \text{LARGE } U_{e3} !!$$

DUTTA, R.N.M
'03

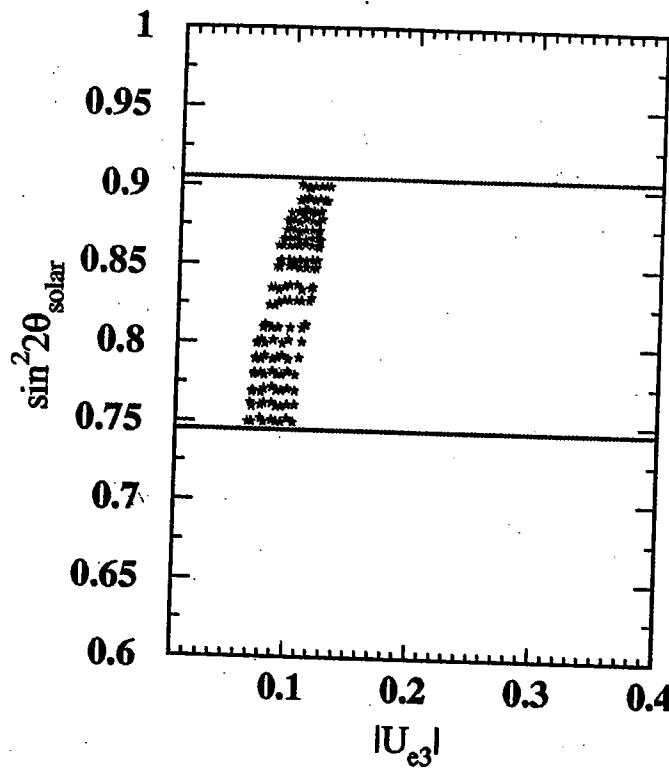


FIG. 2: $\sin^2 2\theta_{\odot}$ vs $|U_{e3}|$. The stars show the model points. The horizontal solid lines show the current experimental limits.

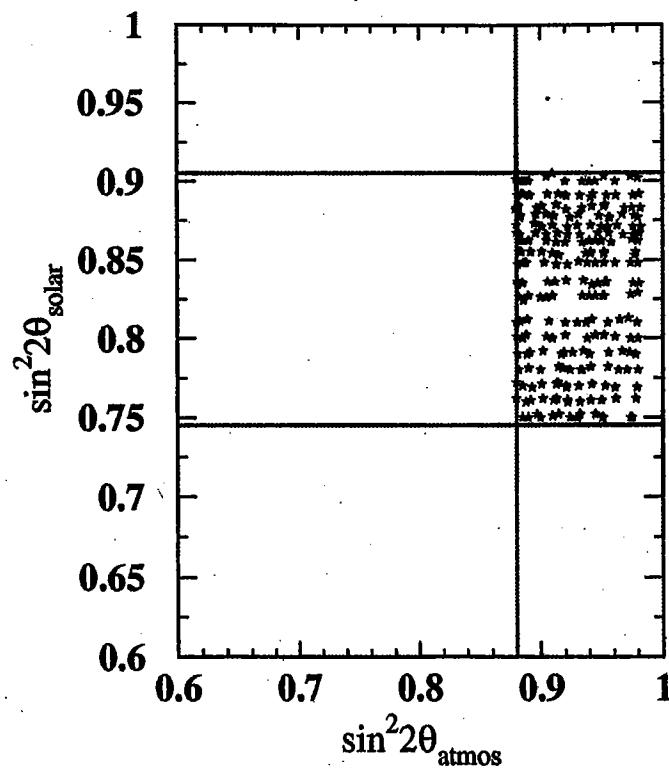


FIG. 1: $\sin^2 2\theta_{\odot}$ vs $\sin^2 2\theta_{\text{atmos}}$. The stars show the model points. The horizontal and vertical

SEESAW AND SUSY GRAND UNIFICATION

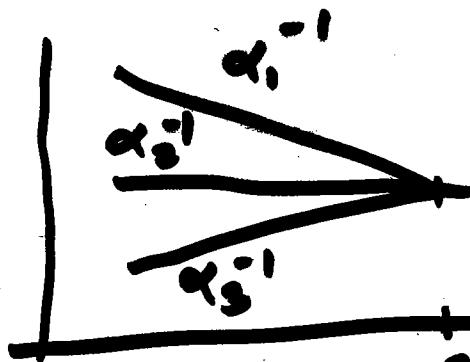
WHY?

$$m_{\nu_2} \approx \sqrt{\Delta m_{\text{ATM}}^2} \approx \frac{m_{\nu_D}^2}{M_R} \approx 0.05 \text{ eV}$$

$$m_{\nu_D} \lesssim 200 \text{ GeV} \Rightarrow M_R \lesssim 10^{15} \text{ GeV}$$

COUPLING UNIFICATION:

SUSY \sim TeV



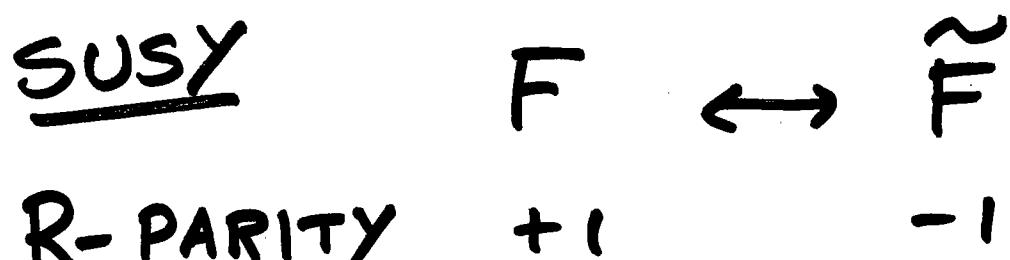
AREIANO, SENJANOVIC
ANGACKER, LUO
MALDI et. al.
ELLIS et. al.

$2 \times 10^{16} \text{ GeV} (\mu)$

$$\Rightarrow M_R \sim M_U$$

CONNECTION OF SUSY

TO ν -PHYSICS



- EXACT R-P \Rightarrow STABLE DM
- MSSM WITHOUT R-P
 \Rightarrow RAPID PROTON DECAY.

CURE:

$$R\cdot P = (-1)^{\frac{3(B-L) + 2S}{2}}$$

$$\Delta(B-L) = 0 \pmod{2}$$

\Rightarrow EXACT R-P.

$$\boxed{\text{MSSM} \xrightarrow[\mu \gg M_{\text{SUSY}}]{}} \text{TH. WITH } \frac{B-L}{m_\nu \Leftarrow (B-L) \Rightarrow \text{SUSY}}$$

SO(10) AND m_ν

$$(i) \quad \tilde{\nu}_R \subset \{16\}_F : \begin{pmatrix} u \\ d \end{pmatrix}_{i,R} \begin{pmatrix} e \\ \nu \end{pmatrix}_L.$$

$$(ii) \quad U(1)_{B-L} \subset SO(10)$$

• ALL INGREDIENTS FOR SEESAI
AND "NATURAL" MSSM •

TWO WAYS TO BREAK B-L:

$$16_H \supset \tilde{\nu}_{R,H} \Rightarrow \langle \tilde{\nu}_{R,H} \rangle^\# \quad B-L=1$$

(ALBRIGHT, BARR)
BABU, PATI, WILCZEK

$$(126)_H \supset \Phi_{\nu_L \nu_R} \quad B-L=2$$

$\{16\}_H$ BREAKS R_P ($\psi_m^3 \psi_H / M_P$)
WHEREAS

$\{126\}_H$ GUARANTEES
EXACT R-PARITY !!

$\therefore R = (-1)^{3(B-L) + 2S}$

$\langle 126_H \rangle \neq 0$ BREAKS B-L BY 2 UNIT
 \Rightarrow R-PARITY
IS A GOOD SYM. OF LOW ENERGY THEORY.

PARAMETER COUNTING

AND MINIMAL SO(10)

OBSERVABLES : $m_{u,cd}$; $m_{d,s,b}$; $m_{e,\mu,\tau}$
 θ_{ij} ; $\Delta m^2_{A,O}$, θ^v_{ij}

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<u>THEORY</u>	<u># OF PARAMETERS</u>	<u># OF PREDICTION</u>
1. MINIMAL SU(5)	9	$m_{\nu_i} = 0$; $m_{d_i} = m_{e_i}$
2. SO(10) WITH $\{\mathbf{10}\}_H$ $\{\mathbf{126}\}_H$	12	$\Delta m^2_{O/A}$ θ^v_{ij}
3. SO(10) WITH $2\{\mathbf{10}\}_H$ $+ \{\mathbf{126}\}_H$	20	
4. SO(10) WITH $\{\mathbf{10}\}_H$ $+ \{\mathbf{16}\}_H$	30	(NEED EX ^T SYM. TO PREDICT)
5. SO(10) " $2\{\mathbf{10}\}_H$ $+ \{\mathbf{16}\}_H$	32	"

A MINIMAL SO(10) EXAMPLE

BABU, R.N.M., 192

MINIMAL HIGGS: $\{10\}$ $\{\bar{126}\}$

WITH STABLE DARK MATTER)

$$h \Psi \Psi \{10\} + f \Psi \Psi \{\bar{126}\}$$

$$\Rightarrow M_u = h \langle 10 \rangle_u + f \langle \bar{126} \rangle_u$$

$$M_d = h \langle 10 \rangle_d + f \langle \bar{126} \rangle_d$$

$$M_\ell = h \langle 10 \rangle_d - 3f \langle \bar{126} \rangle_d$$

$$M_{\nu D} = h \langle 10 \rangle_u - 3f \langle \bar{126} \rangle_u$$

$$M_\nu = f \langle 126 \rangle_{LL} - M_{\nu D} \frac{(f v_R)}{M_\nu}$$

BOTH $M_{\nu D}$ AND $M_{NR} (= f v_R)$
FLAVOR STRUCTURE PREDICTED!

TYPE II SEESAW AND A SUM RULE FOR

$$\frac{M_\nu}{\text{---} \times \text{---}} :$$

IF $M_\nu = f \langle 126 \rangle_{ll} + \text{SMA}$

$$\Rightarrow M_\nu \approx c(M_d - M_e)$$

A NEW WAY TO UNDERSTAND LARGE MIXINGS

— X —

$$M_d = m_b \begin{pmatrix} \lambda^2 & \lambda^2 \\ \lambda^2 & 1 \end{pmatrix}$$

$$M_e = m_\tau \begin{pmatrix} \lambda^2 & \lambda^2 \\ \lambda^2 & 1 \end{pmatrix}$$

$2 \sim .22$

FJC, SENJANOVIC, NISSANI '02

SUM RULE

$$M_\nu = c (M_d - M_e)$$

$$\Rightarrow M_{\nu,33} \simeq m_b - m_\tau$$

PHENOMENOLOGICALLY
WE KNOW :

MSSM

$$m_b(M_U) = m_{\tilde{g}}(M_U) + \epsilon$$

$$\epsilon \approx -0.09 \text{ to } 2$$

$$\Rightarrow M_\nu = c(M_d - M_e) \\ = c \begin{pmatrix} \gamma^2 & \gamma^2 \\ \gamma^2 & \gamma^2 \end{pmatrix}$$

$$\Rightarrow m_2 \ll m_3 \approx \gamma^2 \\ \theta_{23} \text{ LARGE.}$$

DOES IT WORK FOR 3-GENERATIONS?

GOH, R.N.M., NG
hep-ph/0303055

TYPICAL M_d & M_e : ($\lambda \approx .22$)

$$M_{d,e} = m_{b,c} \begin{pmatrix} \lambda^3 & \lambda^3 & \lambda^3 \\ \lambda^3 & \lambda^2 & \lambda^2 \\ \lambda^3 & \lambda^2 & 1 \end{pmatrix}$$

$$\Rightarrow \theta'_{12} \sim \lambda; \quad \theta'_{23} \sim \lambda^2; \quad \theta'_{13} \sim \lambda^3.$$

$$M_\nu = c (M_d - M_e)$$

$$M_\nu = c \begin{pmatrix} \lambda^3 & \lambda^3 & \lambda^3 \\ \lambda^3 & \lambda^2 & \lambda^2 \\ \lambda^3 & \lambda^2 & \lambda^2 \end{pmatrix}$$

$$\Rightarrow \theta_{12}, \theta_{23} \text{ LARGE}; \quad m_1 \lesssim m_2 \ll m_3.$$

GUT SCALE VALUES:

input observable	$\tan\beta = 10$
m_u (MeV)	$0.7238^{+0.1365}_{-0.1467}$
m_c (MeV)	$210.3273^{+19.0036}_{-21.2264}$
m_t (GeV)	$82.4333^{+30.2676}_{-14.7686}$
m_d (MeV)	$1.5036^{+0.4235}_{-0.2304}$
m_s (MeV)	$29.9454^{+4.3001}_{-4.5444}$
m_b (GeV)	$1.0636^{+0.1414}_{-0.0865}$
m_e (MeV)	0.3585
m_μ (MeV)	$75.6715^{+0.0578}_{-0.0501}$
m_τ (GeV)	$1.2922^{+0.0013}_{-0.0012}$

DAS, PARIDA
hep-ph/0010004

INPUT

$$V_{CKM} = \begin{pmatrix} 0.974836 & 0.222899 & -0.00319129 \\ -0.222638 & 0.974217 & 0.0365224 \\ 0.0112498 & -0.0348928 & 0.999328 \end{pmatrix}$$

FITS TO $m_{e,\mu,\tau} \Rightarrow r, k$

$$m_{s,d,c} < 0$$

$$-.78 \leq r \leq -.74$$

$$.23 \leq k \leq .26$$

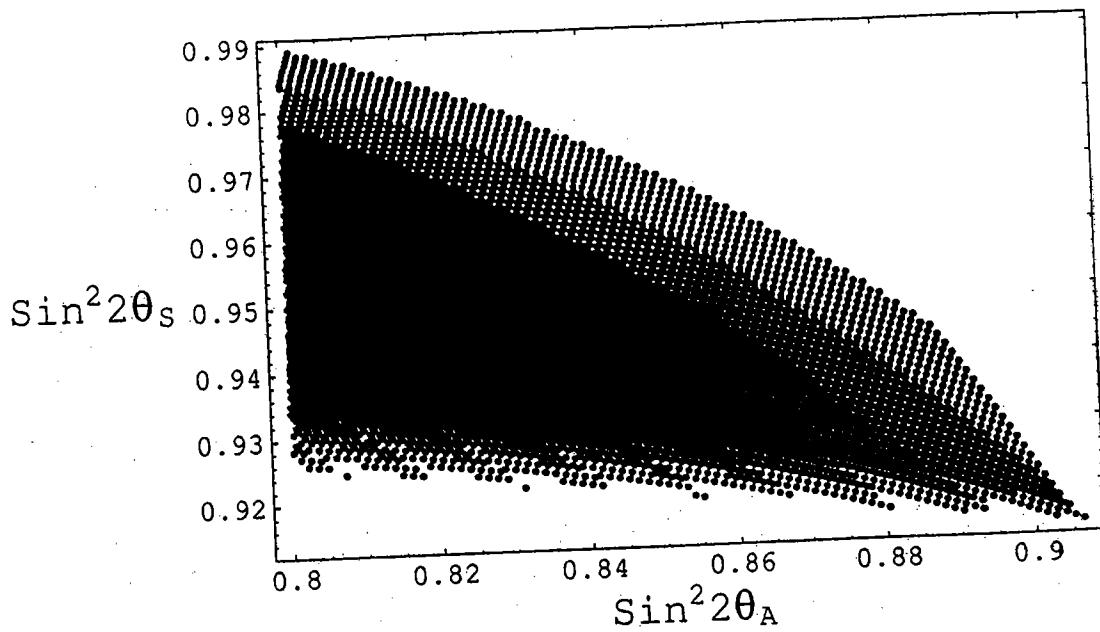


FIG. 1. The figure shows the predictions for $\sin^2 2\theta_S$ and $\sin^2 2\theta_A$ for the range of quark masses in table I. Note that $\sin^2 2\theta_S \geq 0.9$ and $\sin^2 2\theta_A \leq 0.9$

ALLOWED $\sin^2 2\theta_A$: .84 - 1 99%
 K2K, SUPER-K, COMBINED. FOGLI et.al. C.L.

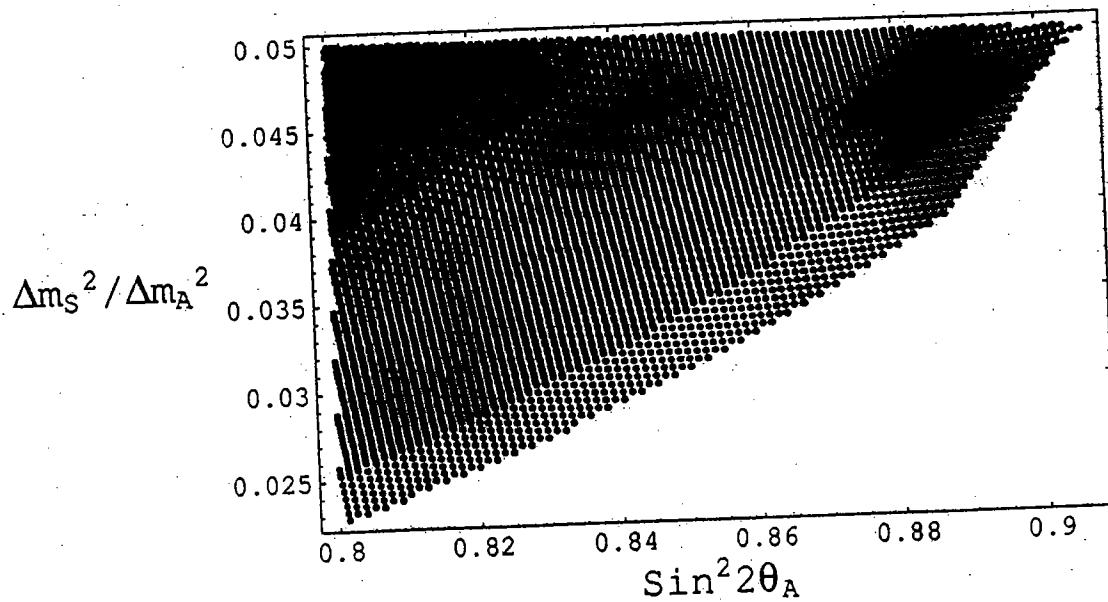
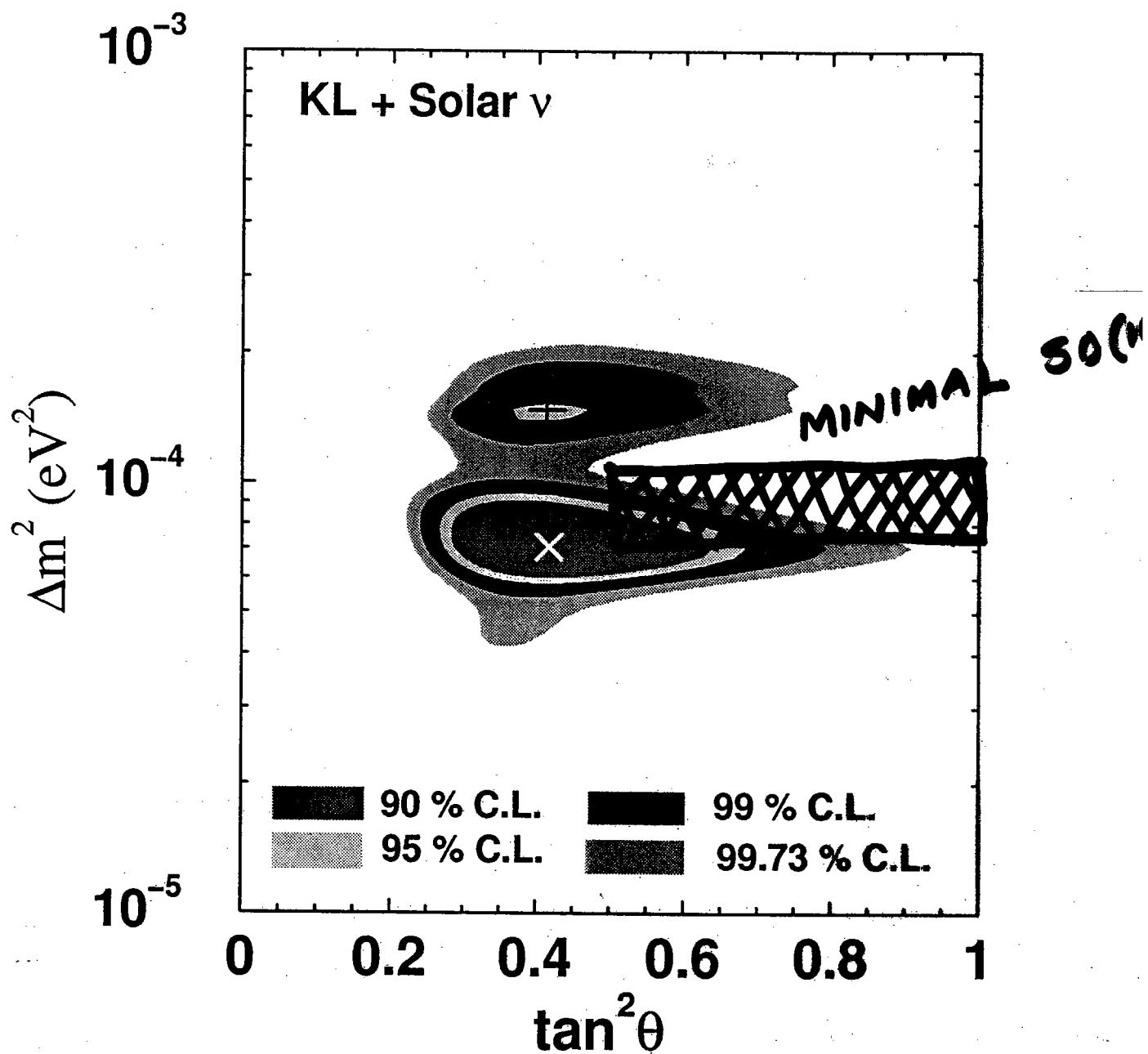


FIG. 2. The figure shows the predictions for $\sin^2 2\theta_A$ and $\Delta m_S^2 / \Delta m_A^2$ for the range of quark masses and mixings that fit charged lepton masses.

GONZALES-GARCIA et. al
DE HOLANDA, SMIRNOV
VALLE et. al.
BANDOPADHYAYA et. al.



$$U_{e3} \approx \frac{V_{ub}}{\frac{m_b}{m_\tau} - 1} \approx \frac{\lambda^3}{\lambda^2} \approx \lambda$$

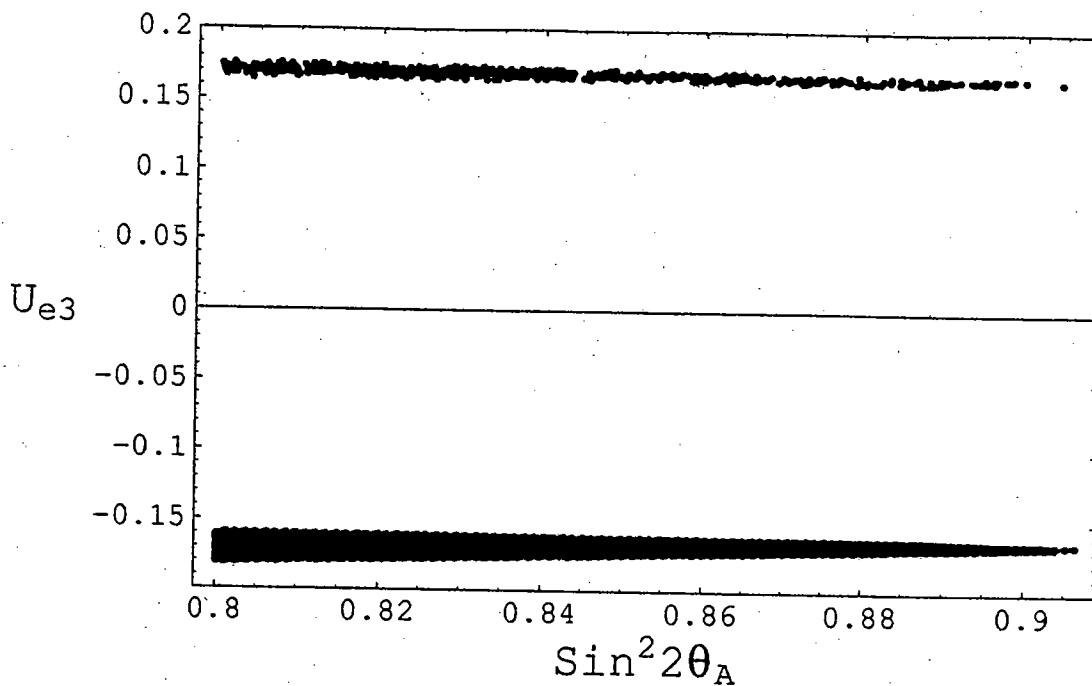


FIG. 3. The figure shows the predictions of the model for $\sin^2 2\theta_A$ and U_{e3} for the allowed range of parameters in the model. Note that U_{e3} is very close to the upper limit allowed by the existing reactor experiments.

- ## PROPOSED EXPTS.
- MINOS
 - NUMI - OFF AXIS
 - JHF
 - CERN - GRAN SASSO
 - BNL

$U_{e3} \gtrsim 0.04 - 0.01$

WE NEED TO KNOW

(i) SIGN OF Δm_{32}^2
NORMAL VRS INVERTED

(ii) U_{e3}

(iii) $\beta\beta_{0\nu}$ DECAY.
($\nu = \bar{\nu}$?)

SOME MODEL PREDICTION

FOR U_{e_3} :

	\times	U_{e_3}
1. $SO(10)$ $^{16}_H$	ALBRIGHT-BARR	•035
2. $SO(10)$ $^{16}_H$	ROSS-VILASCO-	•01
3. $SO(10)$ $(126)_H$	GOH, R.N.M., NG	•16
4. $SO(10) \times U(2)_F$ $(126)_H$	CHEN, MAHANTHAPPA	•158
5. DISCRETE SYM.	OHLSSON, SEIDL	•15 - •2
6. $SU(2)_H$	KUCHIMANCHI-R.N.M.	•04 - •15
7. ANARCHY	de GOUVEA, MURAYAMA	\geq •14

CONCLUSION

(i) $m_\nu \rightarrow \text{SEESAW} + \text{GCU}$
 DM

\Rightarrow STRONG CASE FOR
 $\text{SO}(10)$ WITH 126_H

MINIMAL MODEL TESTABLE!!

(ii) KEY LESSON :

ANY MODEL WHERE

$$m_\nu = c(M_d - M_e) \text{ AT } M_1$$

\Rightarrow EASY UNDERSTANDING OF
LARGE $\theta_{\text{ATMOS.}}$ AND θ_{SOLAR} .

- $2\{10\}_H + \{126\}_H$

- DOES NOT WORK FOR 16_H .

(iii) NORMAL VRS INVERTED
 $\text{SO}(10) \leftrightarrow \text{SU}(2)_H$.